

# Undecidability of the the graph Homomorphism Problem for $\mathbb{Z}^2$ Actions

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A general question concerns the existence of continuous/Borel structurings for countable Borel equivalence relations.

- ▶ More generally, what is structure of the definable cardinals, and what kinds of structures exist on these objects?
- ▶ The class of countable equivalence relations provides a large source of examples of definable cardinals.
- ▶ Even when the underlying equivalence relation is fairly simple, the question about effective structurings of the quotient space  $X/E$  may be difficult.

The effective notion of cardinality comparison is the notion of a **reduction** of  $E$  on the space  $X$  to the relation  $F$  on the space  $Y$ . This means a map  $f: X \rightarrow Y$  such that

$$(xEy) \Leftrightarrow (f(x)Ff(y))$$

This just says  $f$  is an injection from  $X/E$  to  $Y/F$ .

We can require that  $f$  be continuous, Borel, or arbitrary (in ZF + AD contexts).

The context of Borel equivalence relations is a convenient way to present the theory of definable cardinalities, though sometimes the context matters.

## Example

**Woodin** showed that assuming  $AD_{\mathbb{R}}$  there are exactly five cardinalities below (including)  $\omega_1^\omega$ .

This is not true in all models of AD, however.

Recall (**Feldman-Moore**) that every countable Borel equivalence relation is the orbit equivalence relation of a Borel action of a countable group.

There is a natural action, the **shift-action** of the countable group  $G$  on the space  $2^G$  given by

$$g \cdot x(h) = x(g^{-1}h)$$

This action is essentially universal for the actions of  $G$ , for example, any Borel action of  $G$  on  $X$  equivariantly embeds into the shift action of  $G \times \mathbb{Z}$ .

The definable cardinality of the orbit space  $X/E$  for  $E$  given by the shift action of  $G$  roughly corresponds to the algebraic complexity of  $G$ .

- ▶ If  $G \leq H$  or  $G = H/K$ , then the shift action of  $G$  (equivariantly) embeds into the shift action of  $H$ .
- ▶ The same is true if we restrict to the **free-part**  $F(2^G)$  of the shift action of  $G$  on  $2^G$ .

For the simplest infinite group  $G = \mathbb{Z}$ , the Borel actions of  $G$  are all **hyperfinite**, that is,  $E = \bigcup_n E_n$ , an increasing union where each  $E_n$  is finite.

- ▶ All non-smooth hyperfinite relations are Borel bi-reducible, that is, the orbit spaces  $X/E$  have the same effective cardinality.
- ▶ By **Harrington-Kechris-Louveau** this is the minimum cardinality above  $\aleph_1$ .

### Question (Kechris, Weiss)

Is the Borel action of every amenable group hyperfinite?

Some results on the hyperfiniteness problem:

- ▶ All actions of  $\mathbb{Z}^n$  are hyperfinite. (Weiss)
- ▶ All actions of a countable Abelian group are hyperfinite (Gao, J).
- ▶ All actions of a countable nilpotent group are hyperfinite (Seward, Schneider).
- ▶ There are actions of solvable, non-nilpotent groups of exponential growth with hyperfinite free actions (Conley, J, Marks, Seward, Tucker-Drob).

Though all these orbit spaces have the same effective cardinality, questions about **effective structurings** of these spaces are non-trivial, and may have different answers, even for the different  $\mathbb{Z}^n$ .



Many instances of effective (continuous/Borel) structuring problems can be phrased as **sub-shift** or **graph homomorphism** questions.

1.) A **sub-shift** of  $k^G$  is a closed, invariant  $A \subseteq k^G$ .  $A$  is of **finite type** if there is a finite set of  $p_i \in k^{G_i}$  ( $G_1 \subseteq G$  finite) such that  $y \in A$  iff

$$\forall g (g \cdot y \upharpoonright G_i \neq p_i).$$

2.) If  $G = \langle G, S \rangle$  is a presentation of  $G$ , we have the **Cayley graphing** of  $F(2^G)$ . If  $\Gamma$  is a finite (or countable) graph, we can consider continuous/Borel graph homomorphisms from  $F(2^G)$  to  $\Gamma$ .

A particular special case is when  $G = \mathbb{Z}^n$ .

- ▶ Although all abelian actions are hyperfinite the combinatorics remains interesting, and is connected with difficult questions about general marker structures in group actions (e.g., hyperfiniteness problem, union problem).
- ▶ Methods such as 2-colorings (hyperaperiodicity) and orthogonality are used both in sub-shift/graphing problems as well as hyperfiniteness arguments.

# Some General Problems

**Sub-shift problem:** For which subshifts  $A$  of  $k^G$  does there exist a continuous/Borel equivariant map from  $F(2^G)$  to  $A$ ?

**Graphing problem:** Given  $G = \langle G, S \rangle$ , for which finite/countable graphs  $\Gamma$  does there exist a continuous/Borel graph homomorphism from  $F(2^G)$  to  $\Gamma$ ?

**Tiling problem:** Given finite sets (“tiles”)  $T_1, \dots, T_k \subseteq G$ , does there exist a clopen/Borel set  $M \subseteq F(2^G)$  such that  $F(2^G) = \bigcup_{g \in m} T(g)$ , where  $T(g) \in \{T_1, \dots, T_k\}$ .

An instance of the graphing problem is the **chromatic number problem**: determine the continuous/Borel chromatic number of  $F(2^G)$ .

Let  $G = \mathbb{Z}^d$ .

The hyperaperiodic/2-coloring theory produces a set of finite  $\mathbb{Z}^2$ -graphs  $\Gamma_{n,p,q}$  which reduce the question of the existence of a continuous, equivariant map from  $F(2^G)$  to  $A = A(p_i)$  to finding such a map on some  $\Gamma_{n,p,q}$ .

This gives the following.

### Theorem

*The sub-shift problem is  $\Sigma_1^0$ , and thus so are the graph homomorphism and tiling problems.*

We previously showed the following.

### Theorem

*The sub-shift problem is  $\Sigma_1^0$ -complete.*

Here we show:

### Theorem

*The (continuous) graph homomorphism problem (for finite graphs) is  $\Sigma_1^0$ -complete.*

### Question

Is the continuous tiling problem for  $2^{\mathbb{Z}^2}$  also  $\Sigma_1^0$ -complete?

# Review of Hyperaperiodicity

For  $G$  a countable group,  $x \in 2^G$  is a **hyperaperiodic point** (or a 2-coloring) if  $\forall s \neq 1_G \exists T \in G^{<\omega}$  such that

$$\forall g \in G \exists t \in T (x(gt) \neq x(gst))$$

## Fact

$x \in 2^G$  is hyperaperiodic iff  $\overline{[x]} \subseteq F(2^G)$ .

## Fact (GJS)

*Every countable group has a hyperaperiodic point.*

# Construction of $\Gamma_{n,p,q}$

- ▶  $\Gamma_{n,p,q}$  is constructed from 12 rectangular graphs each isomorphic to a rectangle region in  $\mathbb{Z}^2$ .
- ▶ Each has certain regions which are labelled. Vertices of the same label in the different tiles are identified.
- ▶ There are 4 torus tiles, 4 commutativity tiles, and 4 long tiles.

# Torus tiles

$R_x$	$R_c$	$R_x$
$R_a$		$R_a$
$R_x$	$R_c$	$R_x$

$T_{ca=ac}$

$R_x$	$R_c$	$R_x$
$R_b$		$R_b$
$R_x$	$R_c$	$R_x$

$T_{cb=bc}$

Plus  $T_{da=ad}$  and  $T_{db=bd}$ .

$$R_x : n \times n$$

$$R_a : n \times (p - n)$$

$$R_c : (p - n) \times n$$

$$R_b : n \times (q - n)$$

$$R_d : (q - n) \times n$$



# Commutativity tiles

$R_x$	$R_d$	$R_x$	$R_c$	$R_x$
$R_a$				$R_a$
$R_x$	$R_c$	$R_x$	$R_d$	$R_x$

$$T_{dca=acd}$$

$R_x$	$R_c$	$R_x$
$R_a$		$R_b$
$R_x$		$R_x$
$R_b$		$R_a$
$R_x$	$R_c$	$R_x$

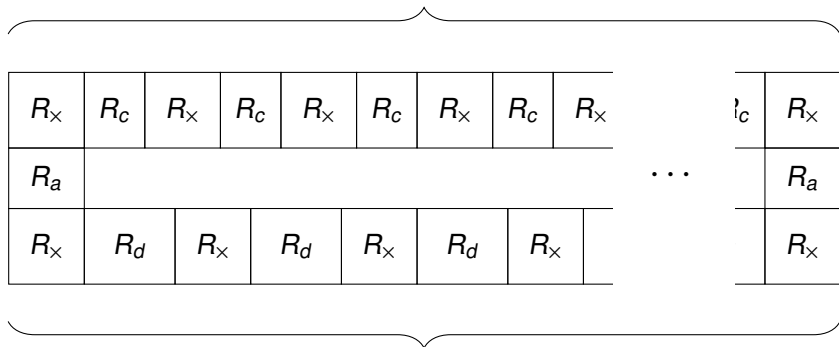
$$T_{cba=abc}$$

Plus  $T_{cda=adc}$  and  $T_{cab=bac}$ .

# Long tiles

$T_{c^q a = ad^p}$  (plus  $T_{d^p a = ac^q}$ ,  $T_{cb^p = a^q c}$ ,  $T_{ca^q = b^p c}$ ).

$q$  copies of  $R_c$



Tiles Theorem for  $\mathbb{Z}^2$ 

## Theorem

Let  $A \subseteq k^{\mathbb{Z}^2}$  be a subshift of finite type coded by  $(p_1, \dots, p_i)$ . Then the following are equivalent.

1. There is a continuous, equivariant map  $f: F(2^{\mathbb{Z}^2}) \rightarrow A$ .
2. There is an  $n, p, q$  with  $n < p, q$ ,  $(p, q) = 1$ , and  $n \geq \max\{a_i, b_i: \text{dom}(p_i) = [0, a_i) \times [0, b_i)\}$  and a  $g: \Gamma_{n,p,q} \rightarrow k$  which respects  $A$ .
3. For all  $n \geq \max\{a_i, b_i: \text{dom}(p_i) = [0, a_i) \times [0, b_i)\}$ , for all sufficiently large  $p, q$  with  $(p, q) = 1$  there is a  $g: \Gamma_{n,p,q} \rightarrow k$  which respects  $A$ .

Let  $\pi_1^*(\Gamma) = \pi_1(\Gamma)/N$ , where  $\pi_1(\Gamma)$  is the homotopy group (with fixed base point) and  $N$  is the normal subgroup generated by the 4-cycles.

Using the tiles theorem we have the following.

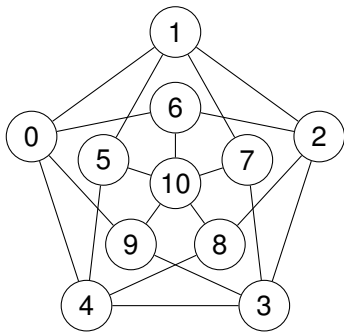
### Theorem (Negative Condition)

*Suppose  $\forall N \exists p, q > N$  with  $(p, q) = 1$  such that for every  $p$ -cycle  $\gamma$  in  $\Gamma$ ,  $\gamma^q$  is not a  $p$ th power in  $\pi_1^*(\Gamma)$ . Then there is no continuous homomorphism from  $F(2^{\mathbb{Z}^2})$  to  $\Gamma$ .*

### Theorem (Positive Condition)

*Suppose there is an odd cycle  $\gamma \in \Gamma$  which has finite order in  $\pi_1^*(\Gamma)$ . Then there is a continuous homomorphism from  $F(2^{\mathbb{Z}^2})$  to  $\Gamma$ .*

As a consequence, every graph  $\Gamma$  without 4-cycles satisfies the negative condition. Also, if  $\chi(\Gamma) \leq 3$ , there is no continuous homomorphism.



0	1	2	3	9	0
6	2	1	7	10	6
0	1	5	10	6	0
6	0	4	8	2	6
0	9	3	2	1	0

**Figure:** The Grötzsch Graph. The odd cycle  $\gamma = (0, 1, 2, 3, 9, 0)$  has order 2.

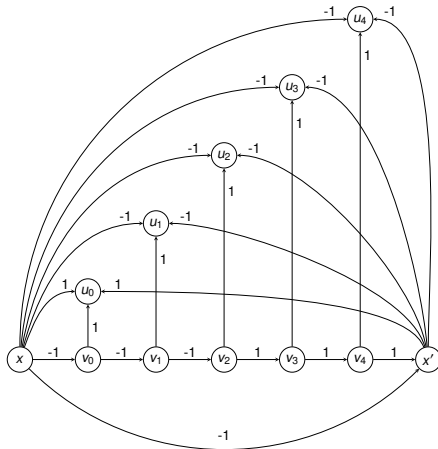


Figure: The “Clamshell” graph together with a weight function verifying the negative condition.

## Theorem

*The set of finite graphs  $\Gamma$  for which there is a continuous graph homomorphism from  $F(2^{\mathbb{Z}^2})$  to  $\Gamma$  is  $\Sigma_1^0$ -complete.*

We reduce a variation of the word problem for groups to the graph homomorphism problem, specifically, the word problem for torsion-free groups.

There is a  $\Sigma_1^0$ -complete set  $C \subseteq \omega$  and a recursive map  $f$  such that  $f(n)$  is the code of a presentation  $\mathcal{G}_n$  of a finitely presented torsion-free group  $G_n$

$$\mathcal{G}_n = \langle a_1, \dots, a_k \mid r_1, \dots, r_\ell \rangle$$

and a word  $w = w_n = w_n(a_1, \dots, a_k)$  such that

$$n \in C \quad \text{iff} \quad (w_n = 1 \text{ in } G_n)$$

We add the extra generator  $z$  and relation  $z^2 = w$  to form  $G'_n$ . That is:

$$\mathcal{G}'_n = \langle a_1, \dots, a_k, z \mid r_1, \dots, r_\ell, z^2 w^{-1} \rangle$$

Let  $f'(n)$  code this presentation.

We associate a graph  $\Gamma'_n$  to  $G'_n$ .

### Lemma

*Let  $\mathcal{G} = \langle b_1, \dots, b_k \mid s_1, \dots, s_\ell \rangle$  be a finite presentation for a group  $G$ . Then there is a graph  $\Gamma(\mathcal{G})$  such that  $\pi_1^*(\Gamma(\mathcal{G})) \cong G$ . Moreover, the map  $g$  given by  $\mathcal{G} \mapsto g(\mathcal{G}) = \Gamma(\mathcal{G})$  is recursive.*



Let  $h = g \circ f'$ . So,  $h(n)$  codes  $\Gamma(\mathcal{G}'_n)$ .

We may assume that all of the generators for  $f'(n)$  except  $z$  map to cycles of even length, while  $z$  maps to a cycle of odd length.

- ▶ If  $n \in C$  then  $z^2 = w = 1$  in  $\mathcal{G}'_n$ , and so  $\Gamma(\mathcal{G}'_n)$  satisfies the positive condition.
- ▶ Suppose  $n \notin C$ , so  $w$  has infinite order in  $\mathcal{G}_n$ . We show that  $\Gamma(\mathcal{G}'_n)$  satisfies the negative condition.

Recall  $\pi_1^*(\Gamma(\mathcal{G}'_n)) \cong G'_n \cong G_n *_{H,K} \mathbb{Z}$ , where  $H = \langle w \rangle \leq G_n$ ,  
 $K = \langle z^2 \rangle \leq \mathbb{Z}$ . Let  $U$  be a set of non-identity coset representatives  
for the subgroup  $H$  of  $G_n$ .

The normal form theorem for amalgamated free products says that  
every element  $v$  of  $G'_n$  can be written uniquely in one of the forms

$$v = gu_1zu_2z \cdots zu_n \quad (1)$$

$$v = gzu_1zu_2 \cdots zu_n \quad (2)$$

$$v = gu_1zu_2z \cdots u_nz \quad (3)$$

$$v = gzu_1zu_2 \cdots u_nz \quad (4)$$

$$v = gz \quad (5)$$

where  $u_i \in U$  and  $g \in H$ .

To each  $x \in G'_n$  we assign an integer  $i(x) \in \mathbb{N}$  defined as follows.

### Definition

Let  $x \in G'_n$ . Among all representations of  $x$  as a product of the form  $x = hvh^{-1}$  where  $v$  is in normal form, we let  $i(x)$  be the minimum number of occurrences of  $z$  in the normal form  $v$ .

If  $x$  is odd we have that  $i(x) > 0$ .

### Lemma

Let  $x \in G'_n$ . Write  $x = hvh^{-1}$  where  $v$  is in normal form, not in case (5), and the number of occurrences of  $z$  in  $v$  is equal to  $i(x)$ . Then for any  $m > 0$  we have that  $x^m = hv^mh^{-1}$  where the normal form for  $v^m$  has  $m \cdot i(x)$  many  $z$ 's.

Let  $p, q$  be large odd primes.

Suppose  $\gamma$  is a  $p$ -cycle in  $\Gamma(G'_n)$ ,  $\gamma^q$  is a  $p$  power in  $\pi_1^*(\Gamma(G'_n))$ .

Say  $\gamma^q = \delta^p$  in  $\Gamma(G'_n)$ .

In  $G'_n$  we may write  $\gamma = hvh^{-1}$ ,  $\delta = kuk^{-1}$ , where  $i(\gamma), i(\delta)$  are attained by  $v, u$ .

We consider the case  $u, v$  not in case (5).

$$hv^q h^{-1} = ku^p k^{-1}$$

$v^q, u^p$  are in reduced forms. We have  $v^{qN} = h^{-1}ku^{pN}k^{-1}h$  for any  $N$ .

We must have  $p|i_v$  as otherwise  $|(i_v qN - i_u pN)| \geq N$ , a contradiction for large enough  $N$ .

Recall  $\gamma$  is a  $p$ -cycle in  $\Gamma(G'_n)$ .

There is a fixed small constant  $r$  such that  $\gamma = \gamma'$  in  $\pi_1^*(\Gamma(G'_n))$  of length  $|\gamma'| \leq r|\gamma|$ , where  $\gamma'$  is a word in the generators of  $G'_n$ .

There are at least  $i_v \geq p$  many  $z$ 's in the reduced form of  $\gamma'$ . So,  $|\gamma'| \geq p|z|$ . Since we may assume  $|z| > r$ , this is a contradiction.